

## Module 3 Supplementary Materials

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# UNIVERSITY OF MARYLAND

## Notice of Award

FRS Account Number:

5

Coeus Award Number:

018244-001

Status :

Approved

Investigator :

Unit:

OCGA Date:

08 / 15 / 2012

(PI)

1  : ENGR-  
1  : ENGR-

Sponsor :

000500 : NSF

Modification Number :

Award Number :

ECCS

Title of Project:

Tracking Control of Nonlinear Systems Under Sensing, Computational, and Communication Constraints

Begin Date:

09 / 01 / 2012

Expiration Date of Current Obligation:

08 / 31 / 2015

Distributable Amount:

324,463.00

Type of Activity:

Research, basic

Type of Award:

Grant

Type of Action:

Initial/New Account

### Terms:

**Equipment:**

- Refer to your award document for details.

**Invention:**

- Refer to your award document for details.

**Other Approvals/Notification:**

- Refer to your award document for details.

**Property:**

- Refer to your award document for details.

**Publication:**

- Refer to your award document for details.

**Referenced Documents:**

- Refer to your award document for details.
- Research Terms & Conditions 6/2011. If applicable, Subaward Appendix B and/or National Policy Requirements Appendix C

**Rights In Data:**

- Refer to your award document for details.

**Subcontracting:**

- Refer to your award document for details.

**Travel:**

- Refer to your award document for details.

**Comments:**

**General Comments:**

Sequence #1. This action sets up the award. MAC 8/14/12  
 \*\*\*\*\*  
 As of June, 2011, new awards are subject to the Federal-wide Research Terms and Conditions found at [http://www.nsf.gov/pubs/policydocs/rtc/termsidebyside\\_june11.pdf](http://www.nsf.gov/pubs/policydocs/rtc/termsidebyside_june11.pdf). Agency Specific Requirements can be found at <http://www.nsf.gov/awards/managing/rtc.jsp>. Project Director must supply the Office of Technology Commercialization with invention information, whether positive or negative.

**Fiscal Report Comments:**

Financial Reports will be prepared by Contract and Grant Accounting.

**Technical Reporting Requirements:**

Type of Report: Progress/Status  
 Frequency: Annual - two months in advance  
 Frequency Basis: Effective Date (assigned by OCGA)  
 OSP Distribution: Sponsor Form

**Indirect Cost:**

Rate	Type
52.00	MTDC5

The following articles are provided for your information.

**Payment:**

Basis of Payment: Cost reimbursement  
 Method of Payment: Letter of Credit-Established ACH mechanism for sponsor  
 Payment/Invoice Frequency: Monthly

Final due within 60 days of expiration

Proposal Number: 12082029  
 CFDA Number: 47.041  
 Sub Plan: No

**Other Data:**

**Contacts for Account Number 5239500**

ORAA Contact: Muriel Averilla-Chin

OCGA/Other Contact : OCGA-Sri Nair

## FPA090 - Account Inquiry

Chart: 01	Account Number: <input type="text"/>	Fiscal Period: 2015-07	User: joakley	As Of: Jan 8 2015 at 11:41 pm
Account Number: <input type="text"/>	Unit: <input type="text"/>	Chart: 01		
Account Name: Tracking Control of Nonlinear Systems Un	Manager: <input type="text"/>	Old FRS Account: -		
Start Date: 1-September-2012	GL Map Account: 23950	Continue Account: 0130000		
End Date: 31-August-2015	Account Type: 20 - Research - On	Account Closed: N		
Fund Group: 43 - Current Funds Restricted	Source: -	Indirect Cost % Rate: 52 %		
Project Director: <input type="text"/>	Purpose: Purpose tbd			

	Original Budget	Revised Budget	Actual Current Month	Actual Fiscal Year	Project to Date	Encumbrances	Balance Available
Total Revenue	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total Expenses	324,463.00	324,463.00	1,709.34	44,981.14	130,838.39	0.00	193,624.61
– Indirect Costs	0.00	0.00	888.85	19,633.61	57,393.42	0.00	-57,393.42
– Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
– Expenses + Indirect Costs	324,463.00	324,463.00	2,598.19	64,614.75	188,231.81	0.00	136,231.19
<b>Account Balance</b>	<b>324,463.00</b>	<b>324,463.00</b>	<b>2,598.19</b>	<b>64,614.75</b>	<b>188,231.81</b>	<b>0.00</b>	<b>136,231.19</b>

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UNIVERSITY OF MARYLAND KUALI FINANCIAL SYSTEM

College Park (UM)

ACCOUNT STATEMENT OF WHOLE DOLLARS FOR DECEMBER 2014

INCOME STATEMENT SUMMARY

REPORT PAGE 1

PROGRAM ID FBM092

VERSION: KF.07.10.14

ACCOUNT PAGE 1

ACCT: [ ]  
ORG: [ ]

RESPONSIBLE PERSON: [ ]

TF	C/G	Sponsor	Sponsor Name	OH-Rate	Table	Start	End	GAAP-PROG	EFF-REP	F6B	CFDA#
10	1	00050	NSF	52	L	09/01/2012	08/31/2015	02	A	N	47.041
OBJ CODE	DESCRIPTION	ORIGINAL	REVISED	CURRENT MONTH	FISCAL YEAR	PROJECT YEAR	ENCUMBRANCES	BALANCE AVAILABLE	PERC USED		
1020	Graduate Assistants			3,419	15,384	50,950		50,950-	0		
2075	Student Wages					1,345		1,345-	0		
2080	Summer Salary Faculty				8,190	22,373		22,373-	0		
2081	Summer Salary Graduate Assistant				8,363	11,708		11,708-	0		
	<b>SALARIES &amp; WAGES</b>	0	0	3419	31,937	86,376	0	86,376-	0		
2710	Health Insurance			989	3,461	12,446		12,446-	0		
2725	Social Security				627	1,734		1,734-	0		
2770	Unemploy Insurance				23	63		63-	0		
2775	Tuition Waiver Graduate Assistant				7,224	20,466		20,466-	0		
	<b>FRINGE BENEFITS</b>	0	0	989	11,334	34,709	0	34,709-	0		
	<b>TOTAL SALARIES &amp; BENEFITS</b>	0	0	4,408	43,272	121,085	0	121,085-	0		
3000	Operating Expenses Pool	324,463	324,463					324,463	0		
3321	Out of State Travel					5,015		5,015-	0		
3914	Laboratory Supplies					2,880		2,880-	0		
3952	Other Supplies and Materials					149		149-	0		
	<b>SUPPLIES &amp; MATERIALS</b>	0	0	0	0	3,029	0	3,029-	0		
	<b>TOTAL OPERATING &amp; EQUIPMENT</b>	324,463	324,463	0	0	8,044	0	316,419	2		
	<b>TOTAL DIRECT EXPENSES</b>	324,463	324,463	4,408	43,272	129,129	0	195,334	40		
9998	Facilities and Administrative Costs			2,292	18,745	56,505		56,505-	0		
	<b>TOTAL INDIRECT COST</b>	0	0	2,292	18,745	56,505	0	56,505-	0		
	<b>TOTAL DIRECT &amp; INDIRECT COSTS</b>	324,463	324,463	6,699	62,017	185,634	0	138,829	57		
	<b>*** ACCOUNT TOTAL ***</b>	324,463	324,463	6,699	62,017	185,634	0	138,829	57		



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UNIVERSITY OF MARYLAND KUALI FINANCIAL SYSTEM  
College Park (UM)  
ACCOUNT STATEMENT OF WHOLE DOLLARS FOR DECEMBER 2014  
INCOME STATEMENT SUMMARY

REPORT PAGE 2  
PROGRAM ID FBM092  
VERSION: KF.07.10.14  
ACCOUNT PAGE 1

ACCT:   
ORG:

TF	C/G	Sponsor	Sponsor Name
10	1	00050	NSF

OH-Rate	Table	Start	End
52	L	09/01/2012	08/31/2015

RESPONSIBLE PERSON:

GAAP-PROG	EFF-REP	F6B	CFDA#
02	A	N	47.041

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UNIVERSITY OF MARYLAND KUALI FINANCIAL SYSTEM  
 College Park (UM)  
 REPORT OF TRANSACTIONS FOR DECEMBER 2014  
 INCOME STATEMENT DETAIL

REPORT PAGE 3  
 PROGRAM ID FBM092  
 VERSION: KF.01.06.14  
 ACCOUNT PAGE 1

ACCT:   
 ORG:

RESPONSIBLE PERSON:

OBJ CODE	DESCRIPTION	TRANS DATE	DOCUMENT REFERENCE	ORG DOCUMENT NUMBER	BUDGET ENTRIES	ACTUALS	ENCUMBRANCES	FDOC NUMBER	POST DATE
1020	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		854.67	0.00	PR101110801698	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		854.67	0.00	PR101210831584	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		854.67	0.00	PR101210835910	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		854.67	0.00	PR101110800072	12/05/14
1020	<b>CM TOTAL Graduate Assistants</b>				0.00	3,418.68	0.00		
2710	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		252.33	0.00	PR101110801698	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		252.33	0.00	PR101210835910	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		242.10	0.00	PR101210831584	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		242.10	0.00	PR101110800072	12/05/14
2710	<b>CM TOTAL Health Insurance</b>				0.00	988.86	0.00		
9998	<b>CM TOTAL Facilities and Administrative Costs</b>				0.00	2,291.90	0.00		
					<b>*** ACCOUNT TOTAL ***</b>	0.00	6,699.44	0.00	

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UNIVERSITY OF MARYLAND KUALI FINANCIAL SYSTEM  
 College Park (UM)  
 ACCOUNT STATEMENT OF WHOLE DOLLARS FOR DECEMBER 2014  
 INCOME STATEMENT SUMMARY

REPORT PAGE 1  
 PROGRAM ID FBM092  
 VERSION: KF.07.10.14  
 ACCOUNT PAGE 1

ACCT:   
 ORG:

RESPONSIBLE PERSON:

TF	C/G	Sponsor	Sponsor Name	OH-Rate	Table	Start	End	GAAP-PROG	EFF-REP	F6B	CFDA#
65	S	00569	DU	50	L	08/01/2010	07/31/2015	02	A	N	12.431
OBJ	DESCRIPTION	----- BUDGETS -----		----- ACTUAL -----				ENCUMBRANCES	BALANCE AVAILABLE	PERC USED	
CODE		ORIGINAL	REVISED	CURRENT MONTH	FISCAL YEAR	PROJECT YEAR					
0431	Award Revenue Corporations		1,142,271-		585,331-	1,142,271-				100	
	<b>TOTAL GIFTS, GRANTS &amp; CONTRACTS</b>	0	1,142,271-	0	585,331-	1,142,271-	0	0	100		
	<b>TOTAL REVENUE</b>	0	1,142,271-	0	585,331-	1,142,271-	0	0	100		
1000	Accrued Leave Payout				242	774		774-	0		
1012	Faculty 12 months			2,314	13,857	128,346		128,346-	0		
1016	Faculty Non Tenured 12 Months			20,191	105,951	601,921		601,921-	0		
1020	Graduate Assistants			8,305	58,305	349,617		349,617-	0		
2071	Faculty Summer School/Other					5,458		5,458-	0		
2081	Summer Salary Graduate Assistant					4,913		4,913-	0		
	<b>SALARIES &amp; WAGES</b>	0	0	30811	178,355	1,091,029	0	1,091,029-	0		
2705	Optional Retirement Programs			1,908	8,206	44,535		44,535-	0		
2710	Health Insurance			4,084	20,377	142,536		142,536-	0		
2711	Retirees Health Insurance			638	2,049	20,233		20,233-	0		
2725	Social Security			1,517	8,050	49,445		49,445-	0		
2755	Teachers Pension			418	1,495	15,626		15,626-	0		
2770	Unemploy Insurance			61	326	1,998		1,998-	0		
2775	Compensation Reg Emp										
2775	Tuition Waiver Graduate Assistant				6,804	51,997		51,997-	0		
	<b>FRINGE BENEFITS</b>	0	0	8626	47,306	326,369	0	326,369-	0		
	<b>TOTAL SALARIES &amp; BENEFITS</b>	0	0	39,436	225,661	1,417,398	0	1,417,398-	0		
3000	Operating Expenses Pool		2,789,062						2,789,062	0	
3311	In State Travel				165	165	1,037	1,202-	0		
3321	Out of State Travel				71	23,001	2,753	25,754-	0		
	<b>TRAVEL</b>	0	0	0	236	23,166	3,790	26,956-	0		
3716	Equipment Maintenance and Repair					200		200-	0		
3718	Printing and Reproduction					264		264-	0		
3728	Freight and Delivery			8	288	3,105		3,105-	0		
	<b>CONTRACTUAL SERVICES</b>	0	0	8	288	3,569	0	3,569-	0		



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UNIVERSITY OF MARYLAND KUALI FINANCIAL SYSTEM  
 College Park (UM)  
 ACCOUNT STATEMENT OF WHOLE DOLLARS FOR DECEMBER 2014  
 INCOME STATEMENT SUMMARY

REPORT PAGE 2  
 PROGRAM ID FBM092  
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 ACCOUNT PAGE 2

ACCT:   
 ORG:

RESPONSIBLE PERSON

TF	C/G	Sponsor	Sponsor Name	OH-Rate	Table	Start	End	GAAP-PROG	EFF-REP	F6B	CFDA#
65	S	00569	DU	50	L	08/01/2010	07/31/2015	02	A	N	12.431
----- BUDGETS -----				----- ACTUAL -----							
OBJ CODE	DESCRIPTION		ORIGINAL	REVISED	CURRENT MONTH	FISCAL YEAR	PROJECT YEAR	ENCUMBRANCES	BALANCE AVAILABLE	PERC USED	
3914	Laboratory Supplies				2,139	9,974	26,350		26,350-	0	
3916	Office Supplies									0	
3922	Conference Supplies						86		86-	0	
3950	Audio Visual Supplies					92	92		92-	0	
3952	Other Supplies and Materials						25,658		25,658-	0	
3953	Office Equipment < \$5,000					4,708	55,764		55,764-	0	
	<b>SUPPLIES &amp; MATERIALS</b>		0	0	2139	14,775	107,950	0	107,950-	0	
4063	Software Academic and Research						6,500		6,500-	0	
4090	Laboratory Equipment						37,110		37,110-	0	
	<b>CAPITAL EQUIPMENT</b>		0	0	0	0	43,610	0	43,610-	0	
4348	Components for Constructed Equipment						78,591		78,591-	0	
4361	Computers Acad/Resch \$1000 to \$4999						2,547		2,547-	0	
	<b>EQUIPMENT OTHER</b>		0	0	0	0	81,138	0	81,138-	0	
4902	Insurance Not Paid to State Treasurer						480		480-	0	
4999	Other Fixed Charges						825		825-	0	
	<b>FIXED CHARGES</b>		0	0	0	0	1,305	0	1,305-	0	
	<b>TOTAL OPERATING &amp; EQUIPMENT</b>		0	2,789,062	2,147	15,299	260,739	3,790	2,524,533	9	
	<b>TOTAL DIRECT EXPENSES</b>		0	2,789,062	41,583	240,960	1,678,137	3,790	1,107,135	60	
9998	Facilities and Administrative Costs				20,791	117,078	750,696		750,696-	0	
	<b>TOTAL INDIRECT COST</b>		0	0	20,791	117,078	750,696	0	750,696-	0	
	<b>TOTAL DIRECT &amp; INDIRECT COSTS</b>		0	2,789,062	62,374	358,037	2,428,833	3,790	356,439	87	
	<b>*** ACCOUNT TOTAL ***</b>		0	1,646,791	62,374	227,294-	1,286,562	3,790	356,439	78	

ENCUMBRANCE STATUS

OBJ CODE	OBJ CODE DESCRIPTION	REF. NO.	DATE	DESCRIPTION	OPEN AMOUNT	CLOSED AMOUNT	OUTSTANDING AMOUNT
3311	In State Travel	720004	08/28/2014	Choi		1,037.00-	1,037.00

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UNIVERSITY OF MARYLAND KUALI FINANCIAL SYSTEM  
 College Park (UM)  
 ACCOUNT STATEMENT OF WHOLE DOLLARS FOR DECEMBER 2014  
 INCOME STATEMENT SUMMARY

REPORT PAGE 3  
 PROGRAM ID FBM092  
 VERSION: KF.07.10.14  
 ACCOUNT PAGE 2

ACCT:   
 ORG:

RESPONSIBLE PERSON:

TF C/G Sponsor Sponsor Name  
 65 S 00569 DU

OH-Rate Table Start End  
 50 L 08/01/2010 07/31/2015

GAAP-PROG EFF-REP F6B CFDA#  
 02 A N 12.431

ENCUMBRANCE STATUS

OBJ CODE	OBJ CODE DESCRIPTION	REF. NO.	DATE	DESCRIPTION	OPEN AMOUNT	CLOSED AMOUNT	OUTSTANDING AMOUNT
3321	Out of State Travel	714558	08/28/2014	Monroe		312.90-	312.90
		736874	11/25/2014	Debnath		1,220.03-	1,220.03
		736881	11/25/2014	Inlek		1,220.03-	1,220.03
3953	Office Equipment < \$5,000	2222	07/18/2014	FOCTEK PHOTONICS INC			
ACCOUNT TOTAL					0.00	3,789.96-	3,789.96

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UNIVERSITY OF MARYLAND KUALI FINANCIAL SYSTEM  
 College Park (UM)  
 REPORT OF TRANSACTIONS FOR DECEMBER 2014  
 INCOME STATEMENT DETAIL

REPORT PAGE 4  
 PROGRAM ID FBM092  
 VERSION: KF.01.06.14  
 ACCOUNT PAGE 1

ACCT:   
 ORG:

RESPONSIBLE PERSON:

OBJ CODE	DESCRIPTION	TRANS DATE	DOCUMENT REFERENCE	ORG DOCUMENT NUMBER	BUDGET ENTRIES	ACTUALS	ENCUMBRANCES	FDOC NUMBER	POST DATE
1012	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		1,157.04	0.00	PR101210820892	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		1,157.04	0.00	PR101110786971	12/05/14
1012	<b>CM TOTAL Faculty 12 months</b>				0.00	2,314.08	0.00		
1016	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		3,797.26	0.00	PR101110788535	12/05/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		2,501.07	0.00	PR101110789659	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		3,797.26	0.00	PR101210836752	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		3,797.26	0.00	PR101210816611	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		2,501.07	0.00	PR101210824295	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		3,797.26	0.00	PR101110801128	12/05/14
1016	<b>CM TOTAL Faculty Non Tenured 12 Months</b>				0.00	20,191.18	0.00		
1020	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		1,109.89	0.00	PR101210824572	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		966.43	0.00	PR101110795561	12/05/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		1,109.89	0.00	PR101110795544	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		966.43	0.00	PR101210831732	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		966.43	0.00	PR101210822609	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		1,109.89	0.00	PR101110789926	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		1,109.89	0.00	PR101210822593	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		966.43	0.00	PR101110800223	12/05/14
1020	<b>CM TOTAL Graduate Assistants</b>				0.00	8,305.28	0.00		
2705	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		236.37	0.00	PR101210824295	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		236.37	0.00	PR101110789659	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		358.87	0.00	PR101210816611	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		358.87	0.00	PR101110788535	12/05/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		358.87	0.00	PR101110801128	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		358.87	0.00	PR101210836752	12/18/14
2705	<b>CM TOTAL Optional Retirement Programs</b>				0.00	1,908.22	0.00		
2710	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		181.18	0.00	PR101110789926	12/05/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		235.89	0.00	PR101110801128	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		518.52	0.00	PR101210824295	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		54.85	0.00	PR101110786971	12/05/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		163.83	0.00	PR101110795544	12/05/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		614.36	0.00	PR101110788535	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		273.45	0.00	PR101210822609	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		163.83	0.00	PR101210822593	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		235.89	0.00	PR101210836752	12/18/14



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UNIVERSITY OF MARYLAND KUALI FINANCIAL SYSTEM  
 College Park (UM)  
 REPORT OF TRANSACTIONS FOR DECEMBER 2014  
 INCOME STATEMENT DETAIL

REPORT PAGE 5  
 PROGRAM ID FBM092  
 VERSION: KF.01.06.14  
 ACCOUNT PAGE 2

ACCT:   
 ORG:

RESPONSIBLE PERSON:

OBJ CODE	DESCRIPTION	TRANS DATE	DOCUMENT REFERENCE	ORG DOCUMENT NUMBER	BUDGET ENTRIES	ACTUALS	ENCUMBRANCES	FDOC NUMBER	POST DATE
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		181.18	0.00	PR101210824572	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		54.85	0.00	PR101210820892	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		273.45	0.00	PR101110795561	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		614.36	0.00	PR101210816611	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		518.52	0.00	PR101110789659	12/05/14
2710	<b>CM TOTAL Health Insurance</b>				0.00	4,084.16	0.00		
2711	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		183.08	0.00	PR101210816611	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		82.14	0.00	PR101110788535	12/05/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		69.32	0.00	PR101110789659	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		154.52	0.00	PR101210824295	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		32.69	0.00	PR101210820892	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		70.29	0.00	PR101210836752	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		14.66	0.00	PR101110786971	12/05/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		31.54	0.00	PR101110801128	12/05/14
2711	<b>CM TOTAL Retirees Health Insurance</b>				0.00	638.24	0.00		
2725	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		176.93	0.00	PR101110789659	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		284.26	0.00	PR101210836752	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		16.53	0.00	PR101210820892	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		280.56	0.00	PR101110788535	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		280.55	0.00	PR101210816611	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		176.92	0.00	PR101210824295	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		16.54	0.00	PR101110786971	12/05/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		284.25	0.00	PR101110801128	12/05/14
2725	<b>CM TOTAL Social Security</b>				0.00	1,516.54	0.00		
2755	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		250.07	0.00	PR101110786971	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		167.92	0.00	PR101210820892	12/18/14
2755	<b>CM TOTAL Teachers Pension</b>				0.00	417.99	0.00		
2770	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		10.40	0.00	PR101210836752	12/18/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		6.47	0.00	PR101210824295	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		6.47	0.00	PR101110789659	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		10.27	0.00	PR101210816611	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		10.40	0.00	PR101110801128	12/05/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		10.27	0.00	PR101110788535	12/05/14
	NORMAL PAYROLL ACTIVITY	12/18/14	-	-		3.19	0.00	PR101210820892	12/18/14
	NORMAL PAYROLL ACTIVITY	12/06/14	-	-		3.19	0.00	PR101110786971	12/05/14

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 FBM091 - A1

UNIVERSITY OF MARYLAND KUALI FINANCIAL SYSTEM  
 College Park (UM)  
 REPORT OF TRANSACTIONS FOR DECEMBER 2014  
 INCOME STATEMENT DETAIL

REPORT PAGE 6  
 PROGRAM ID FBM092  
 VERSION: KF.01.06.14  
 ACCOUNT PAGE 3

ACCT: [ ] [ ] [ ] RESPONSIBLE PERSON [ ]

OBJ CODE	DESCRIPTION	TRANS DATE	DOCUMENT REFERENCE	ORG DOCUMENT NUMBER	BUDGET ENTRIES	ACTUALS	ENCUMBRANCES	FDOC NUMBER	POST DATE
2770	CM TOTAL Unemploy Insurance Compensation Reg Emp				0.00	60.66	0.00		
3311	[ ]								
3311	CM TOTAL In State Travel				0.00	0.00	1,037.00		
3321	Debnath	12/18/14	736874	736874			1,220.03	EN144520141218	12/18/14
	Debnath	11/25/14	736874	736874			1,220.03	EN143920141125	11/26/14
	Inlek	11/25/14	736881	736881			1,220.03	EN143920141125	11/26/14
	Inlek	12/18/14	736881	736881			1,220.03	EN144520141218	12/18/14
	Monroe	11/25/14	714558	714558			312.90	EN143920141125	11/26/14
	Monroe	12/18/14	714558	714558			312.90	EN144520141218	12/18/14
	Debnath	11/25/14	736874	736874			1,220.03-	EN143920141125	12/18/14
	Inlek	11/25/14	736881	736881			1,220.03-	EN143920141125	12/18/14
	Monroe	11/25/14	714558	714558			312.90-	EN143920141125	12/18/14
3321	CM TOTAL Out of State Travel				0.00	0.00	2,752.96		
3728	FEDEX 7717 LONON, BR BLSHIP161	12/17/14	-	-		7.99	0.00	PK112120141217	12/17/14
3728	CM TOTAL Freight and Delivery				0.00	7.99	0.00		
3914	HOMEDEPOT. MYRICK, J	12/17/14	-	-		34.85-	0.00	PK112120141217	12/17/14
	HOMEDEPOT. MYRICK, J	12/17/14	-	-		31.96	0.00	PK112120141217	12/17/14
	DKC*DIGI K NEYENHUIS 004772775101128	12/17/14	-	-		112.88	0.00	PK112120141217	12/17/14
	MINI CIRCU NEYENHUIS 0005171146	12/17/14	-	-		208.27	0.00	PK112120141217	12/17/14
	THORLABS NEYENHUIS 64309717	12/17/14	-	-		260.00	0.00	PK112120141217	12/17/14
	MINI CIRCU NEYENHUIS 0005170928	12/17/14	-	-		678.51	0.00	PK112120141217	12/17/14
	RANKIN AUT WOODWORTH BW5828	12/17/14	-	-		881.75	0.00	PK112120141217	12/17/14
3914	CM TOTAL Laboratory Supplies				0.00	2,138.52	0.00		
9998	CM TOTAL Facilities and Administrative Costs				0.00	20,791.39	0.00		
	*** ACCOUNT TOTAL ***				0.00	62,374.25	3,789.96		



## Examples of Acceptable Cost Transfer Explanations

The monthly/quarterly audit of the award by the Principal Investigator revealed an accounting error in charging payroll expenses to the award. The payroll clerk was not notified that this graduate student was assigned to this research project.

The sponsor delayed the final sign-off of the contract. Although the project started on (Date), the account was not established until (Date).

The sponsor assigned a new award number for the new period of the project. This forced the University to establish a new FRS account number. This transfer moves the expenses from the old award number to the new award number.

The equipment was ordered for the project before an account number could be established. This transfer moves the expense to the sponsor's account.

A keying error caused the charge to inadvertently be charged to the wrong account. This error was caught during a routine review of the charges on the award.

A change in the administrative personnel in the department caused a delay in changing payroll records to accurately reflect where the employee was working.

The payroll records were not updated to reflect that work on the award had been completed. This transfer corrects the accounting error and places the expenses on the proper account.

### Key phrases from the HHS Audit Guide on Cost Transfers

"Transfer(s) must be supported by documentation that contains a full explanation of how the error occurred..."

"An explanation that merely states "to correct error" or "to transfer to correct project" is not sufficient."

"It should be noted that frequent errors in the recording of costs may indicate the need to review the accounting system and/or internal controls."

## From Subcontracting Manual on ORA Website

<http://www.ora.umd.edu/resources/subcontract-manual>

### VI. Subcontractor Closeout Requirements

#### 1. Closeout Notices

1. It is ORA's responsibility to ensure that the Subcontractor has submitted the following documents at the point of subcontract expiration. When the expiration date of the subcontract is reached, ORA will send a closeout letter to the Subcontractor requesting items 1-6 listed below. ORA will provide the required forms in this letter. While Sponsors' reporting requirements will vary, ORA will take reasonable steps to complete the above process within 90 days after subcontract expiration. ORA will follow up as necessary to ensure that closeout has been completed in accordance with sponsor and UMCP requirements.

1. Final Technical Report
2. Final Invoice
3. Subcontractor's Final Inventory of Property Report ([Appendix I](#)) (if applicable)
4. Final Report of Inventions and Subcontracts ([Appendix J](#))
5. Subcontractor's Assignment of Refunds, Rebates, Credits, and Other Amounts ([Appendix K](#))
6. Subcontractor's Release ([Appendix L](#))

#### 2. Principal Investigator's Statement Of Completion

The Principal Investigator's signature indicates all deliverables are received and satisfactory, and all costs have been submitted, reviewed and approved, including cost sharing requirements ([Appendix M](#)).

#### 3. Final Invoice

The Principal Investigator's signature indicates all deliverables are received and satisfactory, and all costs have been submitted, reviewed and approved, including cost sharing requirements

1. The PI/Department will be responsible for the same review and approval procedures as required for the routine invoice evaluations mentioned under Section V, Paragraph B, items 1 through 3.
2. Accounts Payable will review the final invoice to ensure that total cost claimed is within the monetary limitations and time periods specified in the subcontract. Accounts Payable will not issue final payment without approval from the PI/D


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## OCGA

[OCGA Website](#)

### OCGA Directory grouped by accounting unit

KFS numbers are assigned to Contract and Grants based on the awarding agency/sponsor. The range of numbers for each agency/sponsor is listed below. Questions should be directed to the contact person listed.

KFS # Range	Agency/Sponsor	Contact	Email (@umd.edu)	Extension
2-200000 to 2-299999 2-300000 to 2-339999	Cost Share Accounts	Gertrude Logan	<a href="mailto:glogan">glogan</a>	<a href="tel:54904">5-4904</a>
4-300000 to 4-308499	State of MD	Linda Cornell	<a href="mailto:lcornell">lcornell</a>	<a href="tel:52659">5-2659</a>
4-308500 to 4-309999	Student Aid	Janice Oakley	<a href="mailto:joakley">joakley</a>	<a href="tel:58860">5-8860</a>
4-310000 to 4-339999	<b>Private Range</b> includes: (Associations, Corporations, Consortiums, Councils, Govt. Labs, Societies, Universities)	Severine Ekwede Silvia Rodriguez	<a href="mailto:sekwede">sekwede</a> <a href="mailto:srodrig2">srodrig2</a>	<a href="tel:57657">5-7657</a> <a href="tel:56648">5-6648</a>
4-340000 to 4-399999	Restricted Gifts & Endowments	Linda Ward	<a href="mailto:lward1">lward1</a>	<a href="tel:57656">5-7656</a>

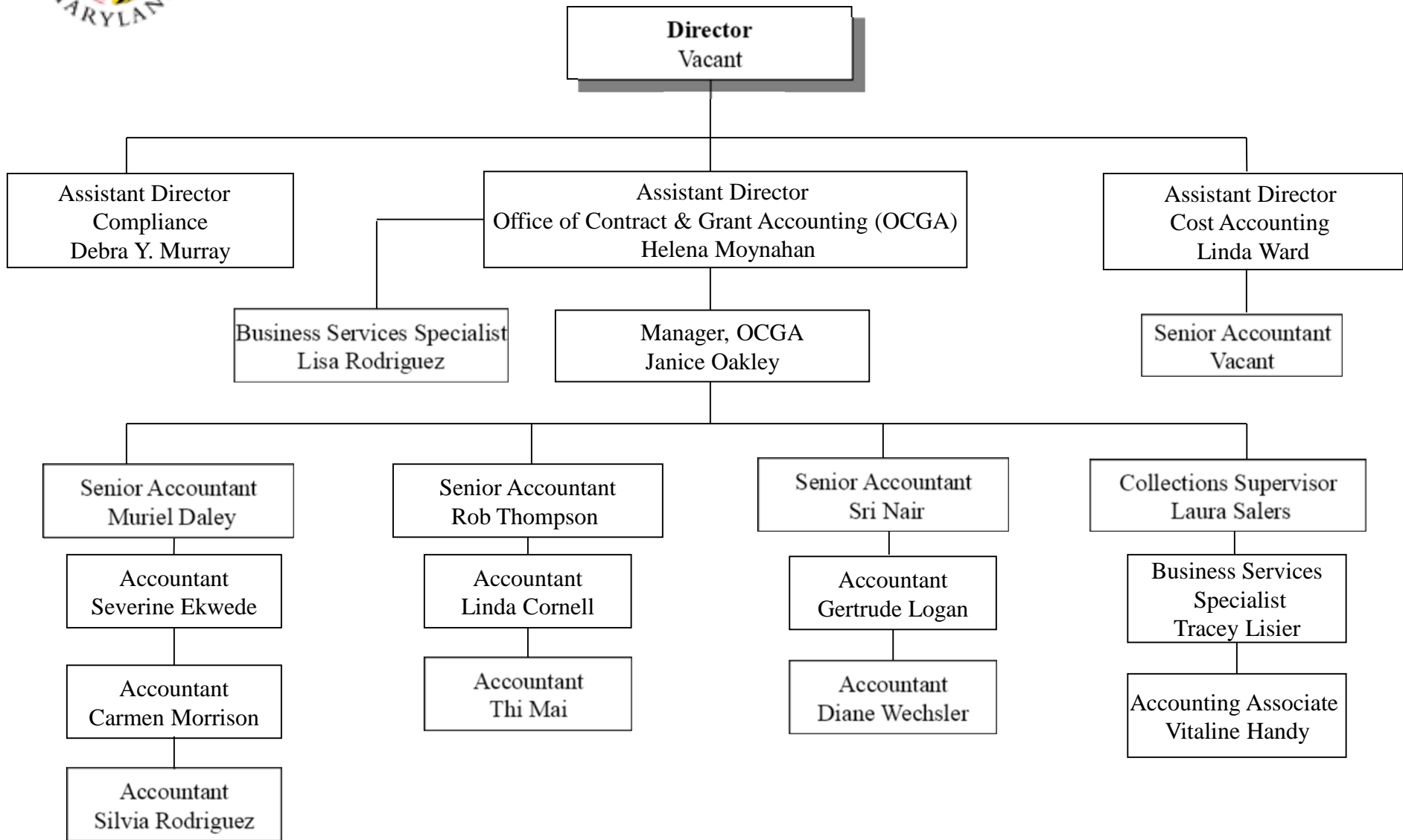
KFS # Range	Agency/Sponsor	Contact	Email (@umd.edu)	Extension
4-445000 to 4-449999	Federal Work Study	Janice Oakley	<a href="mailto:joakley@umd.edu">joakley</a>	5-8860
5-200000 to 5-219999	Health & Human Services	Carmen Morrison	<a href="mailto:carmenr@umd.edu">carmenr</a>	5-2614
5-220000 to 5-227999	Department of Education	Gertrude Logan	<a href="mailto:glogan@umd.edu">glogan</a>	5-4904
5-228000 to 5-229999	Federal Student Aid	Janice Oakley	<a href="mailto:joakley@umd.edu">joakley</a>	5-8860
5-230000 to 5-249999	National Science Foundation	Sri Nair	<a href="mailto:snair@umd.edu">snair</a>	5-2617
5-250000 to 5-259999	USDA	Diane Wechsler	<a href="mailto:dwechsle@umd.edu">dwechsle</a>	5-6662
5-260000 to 5-269999	NASA (Contracts)	Diane Wechsler	<a href="mailto:dwechsle@umd.edu">dwechsle</a>	5-6662
	NASA (Grants)	Muriel Daley	<a href="mailto:mdaley@umd.edu">mdaley</a>	5-0179
5-270000 to 5-274999	Department of Energy	Thi Mai	<a href="mailto:tmai@umd.edu">tmai</a>	5-2611
5-275000 to 5-279999	Department of Commerce	Carmen Morrison	<a href="mailto:carmenr@umd.edu">carmenr</a>	5-2614
5-280000 to 5-289999	Air Force/Army/Navy	Diane Wechsler	<a href="mailto:dwechsle@umd.edu">dwechsle</a>	5-6662
5-290000 to 5-299999	All Other Federal	Thi Mai	<a href="mailto:tmai@umd.edu">tmai</a>	5-2611
		Rob Thompson	<a href="mailto:rthomps@umd.edu">rthomps</a>	5-8153
Collections Coordinator		Laura Salers	<a href="mailto:lsalers@umd.edu">lsalers</a>	5-2604
CTN/Payment Processor		Lisa Rodriguez	<a href="mailto:lbelt@umd.edu">lbelt</a>	5-2607
Collections Associate		Tracey Lisier	<a href="mailto:tlisier@umd.edu">tlisier</a>	5-2613
Collections Associate		Vitaline Handy	<a href="mailto:vhandy@umd.edu">vhandy</a>	5-2616
Property/Cost Share Accounts		Gertrude Logan	<a href="mailto:glogan@umd.edu">glogan</a>	5-4904

Helena Moynahan, Asst. Director ext-52615, [hmoynaha@umd.edu](mailto:hmoynaha@umd.edu) | Janice Oakley, Manager ext-58860, [joakley@umd.edu](mailto:joakley@umd.edu)

**Sponsored Program Accounting and Compliance Organization Chart**



# Sponsored Programs Accounting & Compliance (SPAC)



10/2015